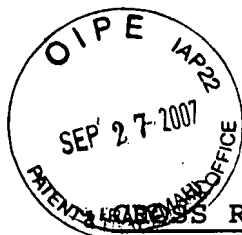


**SUBSTITUTE SPECIFICATION - MARKED UP VERSION****BARREL-SHAPED BEARING****REFERENCE TO RELATED APPLICATION**

The present application is a 35 U.S.C. §§ 371 national phase conversion of PCT/DE2003/003868, filed 21 November 2003, which claims priority of German Application No. 102 55 992.9, filed 30 November 2002. The PCT International Application was published in the German language.

**BACKGROUND OF THE INVENTION**

The invention ~~is focused on~~ concerns a barrel-shaped bearing having an external ring, ~~and an internal ring, having~~ and at least one row of barrel-shaped rolling bodies arranged in-between the rings. ~~At, and having at least one disk-shaped cage which revolves together with the rolling bodies, engages in an encircling groove of the rolling bodies of one row and, on its outer circumference, has a number of recesses corresponding to the number of rolling bodies of a row thereof.~~

An arrangement of the generic type can be seen in German patent specification 663 179. This reference shows a spherical roller bearing, with ~~the~~ rolling bodies having a stepped cross section and rolling with their distal regions on the external ring and their proximal regions on the

internal ring. However, in ~~the case of~~ this arrangement, first, ~~of all~~ an unfavorable force profile is produced by the rolling bodies being subjected not only to compressive stress but also to bending stress, and secondly, the axial space required by this bearing is comparatively large. However, the fact that, even if there is a small pivoting of the external ring in relation to the remaining bearing components, as is possible ~~in the case of~~ for barrel-shaped bearings, one of the two contact surfaces between the rolling bodies and the outer ring can rapidly wear away, which is an even more serious disadvantage. Due to the transverse moments acting on the rolling bodies, this results in ~~an~~ instability of the relevant, barrel-shaped rolling body which may prevent ~~the~~ their return ~~thereof~~, which is required during further rotation of the bearing, to the running surface of the external ring and in such a case will lead to the destruction of the bearing. ~~Neither is~~ Further, the disk-type cage is unable ~~able~~ to provide any sort of stabilization ~~here~~, since it guides the rolling bodies exclusively in ~~the~~ their tangential position ~~thereof~~, but not in the radial direction.

#### SUMMARY OF THE INVENTION

The disadvantages of the described prior art ~~result~~ ~~in the problem initiating~~ caused development of the invention of developing a barrel-shaped bearing of the ~~generic known~~ general type ~~in but~~ such a ~~manner~~ that, even if there is a

considerable ~~pivoting~~ tilting or swinging of the external ring relative to the remaining parts of the barrel-shaped bearing, the rolling bodies can remain stable and, on further rotation of the bearing, those bodies can always return ~~again~~ to the center of the running surface of the external ring.

This problem is solved ~~in the case of the~~ for a barrel-shaped bearing of the ~~generic~~ general type by providing the entire running surface of the internal ring ~~having with~~ a concave cross section over the entire axial length of a rolling body, ~~and by the smallest~~ shortest distance between the two sides ~~of in~~ a recess of the disk-type cage for receiving a rolling body being smaller in the region of the outer circumference ~~thereof of the cage~~ than the diameter of ~~a the~~ rolling body in the region of ~~the a~~ groove encircling the ~~latter~~ rolling body.

These two measures complement each other ~~insofar as,~~ firstly, because no transverse or tilting moments act on the rolling bodies because surface regions of the rolling bodies, which regions lie opposite one another in the radial direction, conduct the radial forces to the two bearing rings, so that the rolling bodies remain stable even when the bearing components ~~pivot~~ tilt or swing greatly. In addition, ~~owing to the disk-shaped cage,~~ prevents the rolling bodies ~~cannot become from becoming~~ detached from the bearing even if ~~they the rolling bodies~~ temporarily lose complete contact

with the external ring. The bearing according to the invention therefore operates satisfactorily even if the pivoting of the bearing components exceeds ~~the~~ customary limit values.

By designing the (greatest) width of the groove encircling the rolling body between the regions of the rolling-body circumferential surface ~~with~~ to have the largest cross section making up only approximately one quarter to one tenth of the axial overall length of the rolling body, a high (radial) load-bearing capacity of a rolling body is produced, ~~as~~. As a result, ~~of which~~ a barrel-shaped bearing of this type is very stable in comparison with its axial extent.

It has proven ~~favorable~~ beneficial for the distance ~~of~~ between the base of a recess of the disk-type cage ~~from~~ to the internal circumference ~~thereof~~ of the cage to be smaller than the depth of the groove in a rolling body. As a result, the desired, purely concave cross-sectional geometry of the running surface of the internal ring is possible.

By the maximum (radial) width of the annular disk-type cage ~~being greater~~ between the inner and outer circumferential circle thereof being greater than half of the diameter of a rolling body in the region of the groove encircling ~~of the latter~~ rolling body, the rolling bodies can be engaged around ~~at~~ the mutually diametrically opposite regions of the groove base and can thus be securely held even

if the external ring is ~~pivoted~~tilted to a very great extent relative to the remaining bearing components.

The maximum (radial) width of the annular disk-type cage between the inner and outer circumferential circle thereof should preferably be equal to the diameter of a rolling body in the region of the groove encircling the ~~latter body~~, or should be greater than ~~said~~that diameter, in order, firstly, to be able to obtain sufficient stability despite the recesses ~~on~~in the external circumference of the cage and, secondly, to be able to securely engage around the individual rolling bodies.

It has proven successful to select the distance between two adjacent recesses of the disk-type cage to be larger in the external circumference thereof than the difference of the maximum diameter of a rolling body minus the diameter thereof in the region of the groove base, for example by 5 to 30%, so that adjacent rolling bodies cannot come into contact with one another.

If--—as the invention furthermore provides--—the sides of a recess in the region of the external circumference of the disk-type ~~cage~~cage converge in the radial direction thereof (as seen to the outside), then the functions of the smooth-running rotatability of the rolling bodies, on the one hand, and the secure mounting thereof, on the other hand, are combined with one another in an advantageous manner.

Further advantages arise from the fact that a recess ~~of~~ in the disk-type cage is ~~edges~~ defined by a curve of constant curvature (in some regions). The radius of curvature  $r_s$  of this curve should be somewhat larger than half of the diameter of a rolling body in the region of the groove base ~~thereof~~ of the rolling body, so that a (small) play is ensured so that the relevant rolling body can rotate in a smooth-running manner.

One effect of the form-fitting connection, which is required further above, between the disk-type cage and rolling bodies is shown in the fact that the radius of curvature  $r$  (which is constant in some regions) of the edging curve of a recess of the disk-type cage should be smaller than the radial width  $b$  of the disk-type cage:  $r < b$ , because in such a case the ends of the edge curve are able to approach one another in the region of the external circumference of the disk-type cage.

A further advantageous feature of the invention ~~resides in the fact that~~ comprises the encircling groove in the circumferential surface of a barrel-shaped rolling body ~~has~~ having side surfaces which are mutually parallel or which diverge outward from each other. In order to ensure that the rolling bodies rotate relative to the disk-type cage in a manner which is as free from friction as possible, the width of the groove in the circumferential surface of a barrel-

shaped rolling body should be somewhat greater than the (axial) width  $d$  of the disk-type cage. On the other hand, in particular load situations, individual rolling bodies can be forced into a tilting movement in relation to the disk-type cage, and a movement of this type may, if appropriate, be facilitated by a groove shape having outwardly diverging side surfaces.

This feature of the invention can be developed to the effect that the side surfaces of the encircling groove in the circumferential surface of a barrel-shaped rolling body run along conical circumferential surface areas. This is a simple geometrical shape which meets all of the requirements which have been set.

If, in this connection, the conical circumferential surface areas in each case have opening angles  $\alpha$  of less than  $179^\circ$ , then the side surfaces of a groove enclose an intermediate angle  $\beta = 180^\circ - \alpha$  of more than  $2^\circ$ . To a resultant rolling-body tilting angle is added a tilting angle caused by the play between these elements, so that the maximum tilting angle of the rolling-body axes of rotation in relation to the perpendicular to the area of the disk-type cage can be, for example, in the order of magnitude of  $5^\circ$  to  $10^\circ$ . This play-induced tilting angle is influenced by the groove width  $b_N$  on the groove base. For reasons concerned with minimizing the friction, this value should correspond at

least to the (axial) thickness  $d$  of the disk-type cage; the difference between the groove width  $b_N$  at the groove base and the (axial) thickness  $d$  of the disk-type cage can preferably be set approximately to the opening angle  $\alpha - \underline{\alpha}$  of the groove side surfaces, which are in the shape of a conical circumferential surface area, in accordance with the following relationship:

$$b_N - d \approx 2 * r_s * \tan(\beta/2) = 2 * r_s * \tan(90^\circ - \alpha \underline{\alpha}/2)$$

In such a case, those regions of the groove side surfaces of a rolling body which extend approximately in the tilting direction are situated, in the maximum tilting position of said rolling body, approximately parallel to the disk-type cage, and guide forces which may have to be transmitted can be introduced over a large surface area and therefore at low pressure.

On the other hand, the opening angle  $\alpha - \underline{\alpha}$  of such conical circumferential surface areas should be more than  $170^\circ$ , preferably more than  $175^\circ$ , in particular more than  $178^\circ$ , so that the side surfaces of a groove enclose an intermediate angle  $\beta = 180^\circ - \alpha - \underline{\alpha}$  of less than  $20^\circ$ , preferably of less than  $10^\circ$ , in particular of less than  $4^\circ$ . This results in an additional guidance (with the effect of limiting the tilting angle) by the running surface of the



external ring (for example as a consequence of the latter being greatly pivoted) of raised rolling bodies, which guidance requires a picking up of contact between these elements in as problem-free a manner as possible (for example with the pivoting angle being reduced).

Finally, corresponding to the teaching of the invention, the cross section of the internal ring and external ring and of the rolling bodies is dimensioned in such a manner that a total of 3 or 4 contact points are produced per rolling body. This feature relates, in particular, to the transverse radius of convexity of the cross-sectionally concave running surfaces of the internal ring and external ring. This radius of convexity should be somewhat larger than the radius of convexity of the barrel-shaped rolling body within a longitudinal sectional plane through the relevant rolling body. Since, however, the groove of the barrel, which is intended for receiving the disk-type cage, runs in the region of the "equator" of said barrel, the resulting two contact points can split into three or four contact points. This effect is desirable because the load-bearing force of a rolling body is increased as a result, and can be further reinforced by the fact that the central points of the transverse radii of convexity of the two barrel "hemispheres" are spaced apart from each other on both sides of the (equatorial) groove for the disk-type cage in the

direction of the axis of rotation or symmetry of the relevant, barrel-shaped rolling body, but preferably only by a small extent  $x$ , which, for example, is smaller than the groove width  $b_N$  at the groove base:  $x < b_N$ .

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Further features, details, advantages and effects on the basis of the invention emerge from the description below of preferred embodiments of the invention and with reference to the drawing, in which:

Fig. 1 shows an end view of a barrel-shaped bearing according to the invention;

Fig. 2 shows a section through ~~fig~~ Fig. 1 along the line II-II;

Fig. 3 shows an illustration corresponding to ~~fig~~ Fig. 2 with the radii of convexity plotted in;

Fig. 4 shows a perspective view of the barrel-shaped bearing from ~~fig~~ Fig. 1, with the external ring having been partially broken up, so that the view of the rolling bodies held by the cage is opened up;

Fig. 5 shows an illustration corresponding to ~~fig~~ Fig. 4, with some of the rolling bearings having been removed from the exposed cage;

Fig. 6 shows a side view of the circumferential surface of a rolling body removed, according to ~~fig~~ Fig. 5, from the cage;

Fig. 7 shows an illustration corresponding to ~~fig~~  
Fig. 6 of a modified embodiment of the invention;

Fig. 8 shows a plan view of a segment broken out of  
the cage from ~~figs~~ Figs. 4 and 5; and

Fig. 9 shows an illustration corresponding to ~~fig~~  
Fig. 8 of an embodiment of the invention which has in turn  
been modified.

#### **DESCRIPTION OF PREFERRED EMBODIMENTS**

Fig. 1 shows a barrel-shaped bearing 1 according to  
the invention having an external ring 2, an internal ring 3  
and a row of barrel-shaped rolling bodies 4 which are  
arranged in between the rings and are held at approximately  
equidistant distances by an encircling cage 5.

Since the preferred barrel-shaped bearing is of  
single-row construction, the external ring 2 can be tilted  
relative to the remaining bearing components 3 to 5. This is  
made possible by the fact that the rolling-bearing running  
surface 6 on the external ring 2 has a concave cross section  
with a constant transverse radius of convexity.

During the tilting of the external ring 2 relative to  
the remaining bearing components 3 to 5, the cage 5 keeps the  
rolling bodies ~~(4)~~ 4 in their position. So that it does not,  
on the other hand, obstruct either the tilting movement of  
the external ring 2 or the movement of the rolling bodies 4,  
it extends through an encircling groove 7 of each rolling

body 4, as illustrated in ~~figs~~ Figs. 2 and 3.

The cage 5 can be produced from a disk with a constant thickness d, for example can be punched out of a metal sheet. As ~~fig~~ Fig. 8 shows, a number of recesses 9 corresponding to the number of rolling bodies 4 are cut out from the radially outer circumference 8 of an annular basic structure with a constant, radial width ~~from the radially outer circumference 8~~. ~~These recesses each accommodate~~ Each recess accommodates one rolling body 4.

The internal circumference 10 of the disk-shaped cage 5 preferably corresponds to the maximum external circumference of the internal ring 3, in particular at an edge 11 of the running surface 12 thereof of the ring, so that the cage 5 can easily be pulled over the internal ring 3, for example mechanically. The insertion of the rolling bodies 4 into the recesses 9 of the disk-shaped cage 5 can likewise be brought about automatically, and the inserted rolling bodies 4 then ~~being~~ are held in situ because they are engaged around in the region of the relevant groove base 13 by the cage 5. After the external ring 2 has been pulled over into a position tilted, for example, by 90° and has been pivoted into the plane of the internal ring 3, the assembly of the barrel-shaped bearing 1 is finished.

The barrel-shaped rolling bodies 4 are therefore divided approximately centrally between their two end sides

14 in their "equatorial" plane into two halves 15, as it were into "hemispheres" by the encircling groove 7. In the case of the rolling bodies 4 according to the invention, the two contact regions of a bearing with undivided (not grooved) rolling bodies are therefore divided into four contact regions. This affords the advantage that the radial loads which are to be transmitted are distributed over a relatively large overall area.

This effect is therefore additionally assisted by the two "hemispheres" 15 of a rolling body 4 being split into different cross-sectional geometries. To be precise, one cross section through the circumferential surface area 16 of a rolling body has in both hemispheres 15 thereof a respective profile in the form of an arc of a circle with preferably identical radii of curvature  $r_T$ . However, the central points 17, 18 of the cross-sectional curvature of the two hemispheres do not coincide, but rather are slightly offset from each other in the axial direction of the barrel-shaped bearing 1, to be precise toward the relevant rolling-body hemisphere 15 or end side 14. A value of the order of magnitude of 0.001 to 0.02, in particular of between 0.002 and 0.01, has proven successful as the "offset factor"  $k_v = x/r_T$ .

Since, in addition, the radii of curvature  $r_A$ ,  $r_I$  of the running surfaces 6, 12 of the external ring and internal

ring 2, 3 are selected to be somewhat larger than the radii of curvature  $r_T$  of the two rolling-body hemispheres 15, in the ideal state two mutually diametrically opposite contact regions of the external ring 2, on the one hand, and the internal ring 3, on the other hand, are produced on each hemisphere 15. As a measure of the deviations of the radii of curvature  $r_A$ ,  $r_1$ ,  $r_T$ , the "osculating factors"  $k_A$ ,  $k_1$  can be specified. The latter are defined as

$$k_A = (r_A - r_T)/r_T = y/r_T$$

$$k_1 = (r_1 - r_T)/r_T.$$

These osculating factors  $k_A$ ,  $k_1$  are preferably to be in the order of magnitude of 0.01 to 0.1, in particular of between 0.02 and 0.05.

Since the central point 19 of cross-sectional curvature of the running surface 6 of the external ring 2 lies on the axis of rotation or symmetry 20 thereof, to be precise on the central base plane thereof, the central points 17, 18 of cross-sectional curvature of the circumferential surface areas 16 of the two rolling-body hemispheres 15 are in each case offset radially outward by  $y$  from the axis of rotation 20 and are offset in relation to the central base plane toward the relevant end side 14 by  $x/2$ .

It can be seen in ~~fig~~ Fig. 4 that even if the external ring 2 is broken away, the rolling bodies 4 are held in situ by the cage 5. This is achieved by the form-fitting connection of the recesses 9 of the cage 5 with the groove regions 7 of the rolling bodies 4.

Two possible groove shapes are illustrated in ~~figs~~ Figs. 6 and 7. ~~in. In the case of the embodiment according to~~ fig Fig. 6, the groove 7 has planar side surfaces 21, that are produced, for example, by ~~means of a~~ straight plunge cut. Accordingly, the width  $b_N$  of the groove 7 is approximately constant. The groove base 13 follows a cylindrical circumferential surface area with the radius of curvature  $r_N$ . The transition regions between the groove base 13 and groove side surfaces 21, between the latter and the circumferential surface areas 16 and between the circumferential surface areas 16 and end surfaces 14 are rounded in order to avoid burrs and stress concentrations.

The embodiment of a rolling body 22 according to ~~fig~~ Fig. 7 differs from that according to ~~fig~~ Fig. 6 exclusively by virtue of the fact that the groove side surfaces 23 run along conical circumferential surface areas. This involves very blunted cones having preferably identical opening angles  $\alpha$  of virtually  $180^\circ$  in each case (for example  $170^\circ$  to  $179^\circ$ ). Accordingly, the groove side surfaces 23 diverge from each other at an angle  $\beta$ , as viewed along a radial plane to

the outside from the axis of symmetry 24. In this case:  $\beta = (180^\circ - \alpha)$  in the case of identical opening angles  $\alpha$  of both groove side surfaces 23, and in the case of different opening angles  $\alpha_1, \alpha_2$ :  $\beta = (180^\circ - (\alpha_1 + \alpha_2)/2)$ . The (axial) width of the groove base is in turn  $b_N$  and its radius of curvature is  $r_N$ . With the same values for  $b_N$  and  $d$ , this embodiment tolerates relatively large offset angles of the barrel-shaped rolling bodies 22 and therefore results in a smaller amount of wear when subjected to corresponding stresses.

A cutout from the cage 5 is reproduced in ~~fig~~ Fig. 8. Recesses 9 which are in the form of an arc of a circle and have a constant radius of curvature  $r_s$  can be seen. By means of  $r_s > r_N$  and  $d < b_N$ , a rotational movement of the rolling bodies 4, which is as free as possible from friction, in relation to the cage 5 is ensured. In order to be able to secure the rolling bodies, the circumference 25 of a recess 9 extends over an arc of a circle of more than  $180^\circ$ , for example of between  $200^\circ$  and  $230^\circ$ , in particular of  $210^\circ$  to  $220^\circ$ , so that the end regions 26 of the recess circumference 25 converge, in the viewing direction from the internal circumference 10 of the cage 5 towards its external circumference 8. The minimum distance of the end regions 26 is smaller than the diameter ( $2 \cdot r_N$ ) of the rolling bodies 4 in the region of the groove base 13, so that the rolling



bodies 4 are engaged around in a form-fitting manner. In order to release a rolling body 4 from the cage 5, the former has therefore to be pulled outward with a large force in order (temporarily) to push the end regions 26 of the recess circumference 25 apart. The end regions 26 therefore form snap-in lugs for snapping in the rolling bodies 4.

The modified disk-type cage 27 from ~~fig~~ Fig. 9 has a similar effect. This cage differs from the cage 5 according to ~~fig~~ Fig. 8 exclusively by the recess circumference 28, which has the radius of curvature  $r_s$  in its region 29 facing the inside 10 of the cage 27, having, approximately level with its radial profile, flattened (approximately mutually parallel) regions 30 (having a length  $l$ , of for example  $r_s/3$ ) which are then adjoined by the actual snap-in lugs 31, which are in turn curved and converge towards each other and the radius of curvature of which can be selected to coincide with  $r_s$ . This embodiment tolerates relatively large radial offsets of the cage 27 with respect to the rolling bodies 4, which offsets may occur, for example, as a consequence of sharp temperature fluctuations, but without the rolling bodies 4 being able to be released if the external ring 2 tilts sharply. As in the case of the cage 5, the edges of the recess circumference 32 are also cross-sectionally rounded here.